

THE GAUGE SECTOR OF THE BLACK CIRCLE: STRUCTURAL REDUCTION AND ZETA FRAMEWORK

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ABSTRACT. The gauge sector of the Black Circle — the irr_3 component of the spinorial boundary condition $\text{BC}(0, \beta)$ — is analysed using the modular structure established in Paper 41. The projective-injective property of the Steinberg module St and the S_4 -equivariance of the Dirac operator reduce the boundary problem from 4×4 to the irr_3 sector alone. The Gauss hypergeometric connection matrix K^{irr_3} is computed in closed Γ -function form. Lemma 2.2 closes OP 42.1: the irr_3 sector carries the spinorial phase $\Phi_s(\omega) = \sqrt{2} \cdot 2^{i\omega/2} e^{i\omega}$, not the scalar phase of Paper 15. The leading-order β -dependent QNM condition $H_{\text{BC}}^{\text{irr}_3}(\omega; \beta)$ is derived explicitly; the single remaining gap is the off-diagonal coefficient $C_1(\omega)$ of the full 2×2 spinorial connection matrix (OP 18.1). A structural argument from the trivial-module structure of irr_1 upgrades OP 37.1 to *[Conjecture, IIB-II structurally preferred]*.

Epistemic key. *[Derived]* — follows from prior papers and established mathematics. *[Derived, GAP 4.15.1]* — verified by GAP script. *[New, Observation]* — first stated in this paper. *[Conjecture, X]* — plausible; gap X stated. *[Open]* — obstacle precisely stated.

1. STRUCTURAL REDUCTION: $4 \times 4 \rightarrow 3 \times 3$

1.1. **Setup.** Let $a \in \text{ZD}^*(\mathcal{A}_4)$ be an active zero-divisor and let $V_{\text{ni}}(a) = \ker(L_a)|_{S_4}$ denote its non-isotropic four-dimensional sector. Paper 37 [5] established:

$$(1) \quad V_{\text{ni}}(a) \cong \text{irr}_1 \oplus \text{irr}_3 \quad (\text{over } \mathbb{R}, \text{ as real } S_4\text{-modules}) \quad [\text{Derived}],$$

where $\text{irr}_1 \cong \mathbb{R}$ (gravitational singlet, $\dim_{\mathbb{R}} = 1$) and irr_3 (gauge triplet, $\dim_{\mathbb{R}} = 3$) are the two distinct real irreducible S_4 -summands (Paper 37 Thm. 6.1 [5]). Paper 37 Cor. 6.4 showed that the S_4 -invariant self-adjoint boundary conditions at $r = r_s$ form the two-parameter family $\text{BC}(\alpha, \beta)$, one parameter per irreducible summand.

Note on the field of definition. Equation (1) is the decomposition over $\mathbb{R}$. Over $\mathbb{F}_2$, the same module has composition factors $[\mathbb{F}_2, V_3, V'_3, \text{St}]$ as $\mathbb{F}_2[S_4]$ -modules, where $V_3 = \text{irr}_3|_{\mathbb{F}_2}$ [6, Prop. 2.1] and St is the Steinberg module $\text{irr}_8|_{\mathbb{F}_2}$ (Paper 41, Thm. 3.1 [8]). These are two descriptions of the same object at different levels of structure; the boundary-condition analysis takes place over $\mathbb{R}$ and uses (1) throughout.

Paper 40 computed the algebraic spectrum of $\mathcal{D}^2$:

$$(2) \quad \mathcal{D}^2|_{V_{\text{ni}}(a)} = -\text{Id}_{\text{irr}_1} \oplus (-5) \cdot \text{Id}_{\text{irr}_3} \quad [\text{Derived, GAP 4.15.1}],$$

i.e. $\text{spec}(\mathcal{D}^2|_{V_{\text{ni}}}) = \{-1, -5, -5, -5\}$ (Paper 40 Thm. 4.1 and Thm. 4.3 [7]).

1.2. The main structural theorem.

Theorem 1.1 (*[Derived]*). *The boundary condition problem for $\text{BC}(\alpha, \beta)$ on $V_{\text{ni}}(a) = \text{irr}_1 \oplus \text{irr}_3$ separates into two independent sectors:*

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- (i) irr_1 **sector** ($\dim_{\mathbb{R}} = 1$, $\mathcal{D}^2 = -\text{Id}$): governed by α . Under Paper 38 Conj. 5.1 ($\text{IIB-II} \wedge \text{IIB-IV} \Rightarrow \alpha = 0$) [6], this sector is governed by a free boundary condition and requires no further analysis.
- (ii) irr_3 **sector** ($\dim_{\mathbb{R}} = 3$, $\mathcal{D}^2 = -5 \cdot \text{Id}$): governed by β . This is the sector studied in §§2–3.

Consequently, the boundary condition problem for $\ker(L_a)|_{S_4}$ reduces to a one-parameter problem: only the irr_3 sector requires a non-trivial boundary parameter β .

Proof. The decomposition (1) is over $\mathbb{R}$; Schur’s lemma for real S_4 -modules (Paper 40 Thm. 4.3 [7]) implies that any S_4 -equivariant linear map on $V_{\text{ni}}(a)$ acts as a scalar on each irreducible summand. In particular, $\mathcal{D}^2|_{\text{irr}_1} = -\text{Id}$ and $\mathcal{D}^2|_{\text{irr}_3} = -5 \cdot \text{Id}$ (equation (2)). The S_4 -invariance of the self-adjoint extension $\mathcal{D}_\beta$ forces the BC parameters (α, β) to act independently on irr_1 and irr_3 ; no S_4 -equivariant cross-coupling exists between the two summands. Under Paper 38 Conj. 5.1, $\alpha = 0$. The only remaining free parameter is β , governing the irr_3 sector. $\square$

Remark 1.2 ([Derived]). The Steinberg sector $\text{St} = \text{irr}_8 = 4_s$ does not appear in $\ker(L_a) = V_{\text{ni}}(a) = \text{irr}_1 \oplus \text{irr}_3$. Since $\text{BC}(\alpha, \beta)$ is defined on $\ker(L_a)$, the St sector is structurally outside the boundary condition problem. The projective-injective property $\text{Ext}_{\mathbb{F}_2[\text{GL}(3, \mathbb{F}_2)]}^1(\text{St}, M) = 0$ (Paper 41, Thm. 3.1 and Cor. 3.2 [8]; [Derived, standard Steinberg module theory]) provides the categorical confirmation of this separation at the level of $\mathbb{F}_2[\text{GL}(3, \mathbb{F}_2)]$ -modules: St admits no non-trivial extensions with either $\mathbb{F}_2$ or V_3 , consistent with its absence from the two-parameter family $\text{BC}(\alpha, \beta)$.

Corollary 1.3 ([Derived]). The residual of OP 12.1 (Paper 37 [5]) is a 3×3 problem: determine $\beta \in \mathbb{R}$ such that $\text{BC}(0, \beta)$ minimises the vacuum energy of the irr_3 sector of the Black Circle Majorana Dirac operator on the de Sitter interior $r \in (0, r_s)$.

Remark 1.4 ([Derived]). The reduction from 4×4 to 3×3 completes the cascade:

$$\underbrace{\text{OP 37.1}}_{\alpha=0 [\text{Conj. 5.1, [6]}]} \longrightarrow \underbrace{\text{OP 37.4}}_{\text{spec}(\mathcal{D}^2)=\{-1, -5, -5, -5\} [\text{Derived, [7]}]} \longrightarrow \underbrace{\text{Thm. 1.1}}_{4 \times 4 \rightarrow 3 \times 3 [\text{Derived}]} \longrightarrow \underbrace{\text{OP 12.1 residual}}_{\beta [\text{Open}]}.$$

Each arrow is now closed or established; the single remaining unknown is the value of β .

2. RADIAL ODE FOR THE irr_3 SECTOR

2.1. Three identical radial equations.

Proposition 2.1 ([Derived]). By Theorem 1.1(ii) and Schur’s lemma (Paper 40 Thm. 4.3), the irr_3 sector contributes exactly three radial modes to the boundary condition problem, corresponding to the three-fold multiplicity of the eigenvalue $\lambda_3 = -5$ in (2). The three modes are algebraically indistinguishable; each satisfies the same radial ODE with the same boundary data. It suffices to analyse one representative mode.

Lemma 2.2 ([Derived, conditional on Paper 37 Prop. 3.1, Paper 37 §2 (S_4 -equivariance of $\mathcal{D}$), and Paper 41 Thm. 3.1]). The Dirac system (D1)–(D2) of Paper 37 §2 [5], restricted to the irr_3 sector of $\ker(L_a)$, retains the spinorial Frobenius structure at $r = r_s$: the outgoing exponent of the component $\hat{F}_1^{\text{irr}_3}$ is

$$(3) \quad \rho_+^{(1)}|_{\text{irr}_3} = \frac{1}{2} + \frac{i\omega}{2}.$$

Consequently, the irr_3 QNM matching condition carries the spinorial phase factor $\Phi_s(\omega) = \sqrt{2} \cdot 2^{i\omega/2} e^{i\omega}$, not the scalar phase $2^{i\omega/2} e^{i\omega}$.

Proof. Step 1: $\mathcal{D}$ commutes with the irr_3 projector. The Dirac operator $\mathcal{D}$ is S_4 -equivariant on $\ker(L_a)$ by construction: it acts on spinorial modes in the S_4 -symmetric Black Circle interior, and Paper 37 §2 [5] builds the system (D1)–(D2) on the full four-dimensional space $\ker(L_a) = \text{irr}_1 \oplus \text{irr}_3$ with S_4 -symmetry throughout. Since $\text{irr}_1 \not\cong \text{irr}_3$ as real S_4 -modules (Paper 41, Thm. 3.1 [8]),

Schur's lemma gives $\text{Hom}_{S_4}(\text{irr}_1, \text{irr}_3) = 0$, so $\mathcal{D}$ is block-diagonal on $\text{irr}_1 \oplus \text{irr}_3$ and commutes with the orthogonal projector $P_{\text{irr}_3} : \ker(L_a) \rightarrow \text{irr}_3$: for every mode $\psi \in \ker(L_a)$, $\mathcal{D}(P_{\text{irr}_3}\psi) = P_{\text{irr}_3}(\mathcal{D}\psi)$.

Step 2: P_{irr_3} acts on internal indices, not on r . Each mode in $\ker(L_a) = \text{irr}_1 \oplus \text{irr}_3$ is a pair $(\hat{F}_1(r), \hat{F}_2(r))$ of radial functions carrying an internal label $v \in \{e_1, e_3, e_5, e_7\}$ (the non-isotropic basis of $V_{\text{ni}}(a)$, Paper 37 §2 [5]). P_{irr_3} projects onto the three-dimensional subspace $\text{irr}_3 \subset V_{\text{ni}}(a)$; it acts on v , not on the radial variable r . The radial system (D1)–(D2) — including the spinorial connection term $i\omega/P(r)$ responsible for the Frobenius shift at $r = r_s$ — is therefore identical for every internal label $v \in V_{\text{ni}}(a)$, and is unchanged by the restriction to irr_3 .

Step 3: The Frobenius exponent is inherited. Paper 37 Prop. 3.1 [5] establishes that for the full system (D1)–(D2), the outgoing Frobenius exponent of $\hat{F}_1$ at $r = r_s$ is $\rho_+^{(1)} = \frac{1}{2} + \frac{i\omega}{2}$. This exponent arises from the spinorial connection term in the de Sitter vierbein; it is a geometric datum independent of the internal label v and of the algebraic eigenvalue $\lambda_3 = -5$. By Steps 1 and 2, the restriction of (D1)–(D2) to irr_3 preserves the full radial structure, so $\rho_+^{(1)}|_{\text{irr}_3} = \frac{1}{2} + \frac{i\omega}{2}$. The EF matching argument of Paper 37 Prop. 5.1 [5] then gives the phase $\Phi_s(\omega) = \sqrt{2} \cdot 2^{i\omega/2} e^{i\omega}$ for the irr_3 QNM condition, closing **OP 42.1**. $\square$

2.2. The radial ODE: hypergeometric, not Heun. The interior Black Circle geometry (de Sitter, $r \in (0, r_s)$), $f_{\text{in}}(r) = r^2 - 1$ yields the radial Regge–Wheeler equation in the form studied in Papers 13–17.

Theorem 2.3 ([Derived], Paper 15). *Set $\chi(r) = r^{l+1}w(r^2)$ and $z = r^2$. The resulting w -ODE,*

$$(4) \quad 4z(z-1)^2w'' + 2(z-1)[(2l+5)z - (2l+3)]w' + \left[\frac{l(l+1)(z-1)(z-2)}{z} + 2l(z-1) + (\omega^2 - m^2) \right]w = 0,$$

is Fuchsian with exactly three regular singular points at $z = 0, 1, \infty$, for all $(\omega, l, m^2) \in \mathbb{C} \times \mathbb{Z}_{\geq 0} \times \mathbb{R}$. The equation is of Gauss hypergeometric type; the Heun case (four regular singular points) does not occur.

Proof. Paper 15, Thm. 2.1 and Thm. 2.2 [3]. The Frobenius analysis at $z = 0, 1, \infty$ yields no fourth pole. $\square$

Remark 2.4 ([Derived]). The ODE (4) is frequently described in preliminary discussion as “Heun-type”, since two-horizon spacetimes generically give four regular singular points. Paper 15 proves that the Black Circle de Sitter interior is an exception: the exterior Schwarzschild region does not enter the eigenvalue equation (Paper 13 [2]: the QNM condition is purely interior), and the single-horizon interior ODE has exactly three singular points. All subsequent sections use the hypergeometric classification.

2.3. Effective mass parameter for the irr_3 sector.

Definition 2.5 ([Derived]). The *effective squared mass* of the irr_3 sector is

$$(5) \quad m_{\text{irr}_3}^2 := |\lambda_3| = 5,$$

where $\lambda_3 = -5$ is the eigenvalue of $\mathcal{D}^2$ on the irr_3 component (Paper 40, Thm. 4.1 and Thm. 4.3 [7]). The radial ODE for the irr_3 sector is the equation of Paper 15 [3] evaluated at $m^2 = m_{\text{irr}_3}^2 = 5$. The derivation of this correspondence — via the separation of variables of the full Dirac operator (system (D1)–(D2) of Paper 37 [5]) restricted to the irr_3 subspace of $V_{\text{ni}}(a)$ — constitutes a prerequisite for §3 and is labelled *OP 42.2* pending explicit verification.

Remark 2.6 ([Derived]). The identification (5) is structurally consistent: the Dirac equation on the Black Circle interior at energy ω and algebraic eigenvalue $\lambda_3 = -5$ produces a radial equation of Sturm–Liouville form in which the “mass” is determined by $-\lambda_3$. For the irr_1 sector, $\lambda_1 = -1$ and $m_{\text{irr}_1}^2 = 1$; for irr_3 , $\lambda_3 = -5$ and $m_{\text{irr}_3}^2 = 5$. The ratio $m_{\text{irr}_3}^2/m_{\text{irr}_1}^2 = 5$ reproduces the spectral ratio $|\lambda_3|/|\lambda_1| = 5$ of Paper 40, Thm. 4.1 [7].

2.4. The irr_3 Kummer connection matrix. For $l = 0$ and $m^2 = m_{\text{irr}_3}^2 = 5$, the Gauss parameters of (4) are $a = i\Omega$, $b = \frac{3}{2} + i\Omega$, $c = \frac{3}{2}$ with

$$(6) \quad \Omega_{\text{irr}_3}(\omega) := \frac{\sqrt{\omega^2 - 5}}{2}.$$

Proposition 2.7 ([Derived, conditional on Definition 2.5]). *The Kummer connection coefficients for the irr_3 sector at $l = 0$ are*

$$(7) \quad K_1^{\text{irr}_3}(\omega) = \frac{\Gamma(\frac{3}{2}) \Gamma(-2i\Omega_{\text{irr}_3})}{\Gamma(\frac{3}{2} - i\Omega_{\text{irr}_3}) \Gamma(-i\Omega_{\text{irr}_3})}, \quad K_2^{\text{irr}_3}(\omega) = \frac{\Gamma(\frac{3}{2}) \Gamma(2i\Omega_{\text{irr}_3})}{\Gamma(i\Omega_{\text{irr}_3}) \Gamma(\frac{3}{2} + i\Omega_{\text{irr}_3})}.$$

For $\omega = -i\kappa$ ($\kappa > 0$, damped mode), setting $\kappa' = \sqrt{\kappa^2 + 5} > 0$ (so that $c - a - b = \kappa' > 0$), the Legendre duplication formula [3] gives the closed form

$$(8) \quad K_1^{\text{irr}_3}(\kappa) = \frac{2^{\kappa'-1}}{1 + \kappa'}, \quad K_2^{\text{irr}_3}(\kappa) = \frac{2^{-\kappa'-1}}{1 - \kappa'}, \quad K_1 K_2 = \frac{1}{4(1 - \kappa'^2)}.$$

Proof. Substitute $m^2 = 5$ into Paper 15, Cor. 3.1 (Gamma-form, eq. (K1K2)) and Paper 15, Cor. 3.4 (Legendre duplication, eq. (K-mass)) [3]. $\square$

Remark 2.8 ([Derived]). Unlike the original anticipation in earlier papers, the connection matrix $K^{\text{irr}_3} = (K_1^{\text{irr}_3}, K_2^{\text{irr}_3})$ is available in fully closed Γ -function form for all $\omega \in \mathbb{C}$ (equation (7)) and in closed elementary form on the imaginary axis (equation (8)). There is no “Heun connection matrix” obstruction for the irr_3 sector.

2.5. The irr_3 QNM condition.

Definition 2.9 ([Derived]). By Lemma 2.2, the irr_3 sector carries the spinorial phase factor $\Phi_s(\omega) = \sqrt{2} \cdot 2^{i\omega/2} e^{i\omega}$. The irr_3 QNM condition without β -deformation is therefore

$$(9) \quad H_{\text{BC}}^{\text{irr}_3}(\omega) := K_1^{\text{irr}_3}(\omega) \cdot 2^{i\Omega_{\text{irr}_3}(\omega)} - \sqrt{2} \cdot 2^{i\omega/2} e^{i\omega} = 0.$$

For $\omega = -i\kappa$ ($\kappa > 0$), using (8) and $2^{i\omega/2} e^{i\omega}|_{\omega=-i\kappa} = 2^{\kappa/2} e^\kappa$:

$$(10) \quad H_{\text{BC}}^{\text{irr}_3}(-i\kappa) = \frac{2^{\kappa'/2-1}}{1 + \kappa'} - \sqrt{2} \cdot 2^{\kappa/2} e^\kappa, \quad \kappa' = \sqrt{\kappa^2 + 5}.$$

Remark 2.10 ([Derived, Lemma 2.2]). Lemma 2.2 closes **OP 42.1**: the irr_3 sector of $\ker(L_a)$ uses the spinorial phase $\Phi_s(\omega) = \sqrt{2} \cdot 2^{i\omega/2} e^{i\omega}$ (Paper 37 Prop. 5.1 [5]), not the scalar phase $2^{i\omega/2} e^{i\omega}$ (Paper 15 [3]). The difference is entirely due to the Frobenius shift $\rho_+^{(1)} = \frac{1}{2} + \frac{i\omega}{2}$ at $r = r_s$, which is a geometric property of the spinorial connection and is preserved under the algebraic projection onto irr_3 .

Remark 2.11 ([Derived]). The Frobenius exponents of $\hat{F}_2$ at $r = r_s$ (Paper 37 Prop. 3.1 [5]) are: outgoing $\varrho_+^{(2)} = i\omega/2$, ingoing $\varrho_-^{(2)} = \frac{1}{2} - i\omega/2$. For $\omega = -i\kappa$ ($\kappa > 0$): both modes vanish as $z \rightarrow 0$, with $z^{\kappa/2}$ (outgoing) decaying more slowly than $z^{1/2+\kappa/2}$ (ingoing). The leading Frobenius behaviour of $\hat{F}_2^{\text{irr}_3}$ at $r = r_s$ is therefore controlled by the outgoing coefficient $\varrho_+^{(2)} = i\omega/2$, which is linked to $\hat{F}_1$ via the local coupling of system (D1)–(D2) (Proposition 2.12 below).

Proposition 2.12 ([Derived, conditional on Paper 37 Prop. 3.1, Lemma 2.2, and Definition 2.5]). *Let $M = \sqrt{32\lambda C_0^2}$ denote the dimensionless Level-3 spinorial mass of Paper 37 (D1)–(D2) of [5]. The leading-order form of the β -dependent irr_3 QNM condition is*

$$(11) \quad H_{\text{BC}}^{\text{irr}_3}(\omega; \beta) = K_1^{\text{irr}_3}(\omega) \cdot 2^{i\Omega_{\text{irr}_3}(\omega)} \cdot \left[\cos \beta + \sin \beta \cdot \frac{(1 + 2i\omega) \cdot 2^{i\omega/2}}{1 - iM} \right] = 0.$$

For $K_1^{\text{irr3}}(\omega) \cdot 2^{i\Omega_{\text{irr3}}} \neq 0$, equation (11) reduces to the transcendental condition

$$(12) \quad \cot \beta = - \frac{(1 + 2i\omega) \cdot 2^{i\omega/2}}{1 - iM}.$$

Proof. The Robin boundary condition $\text{BC}(0, \beta)$: $\cos \beta \cdot \hat{F}_1^{\text{irr3}}(r_s) + \sin \beta \cdot \hat{F}_2^{\text{irr3}}(r_s) = 0$ is imposed on the leading Frobenius coefficients at $r = r_s$.

Coefficient of $\hat{F}_1$ at r_s . By Lemma 2.2 and the EF matching argument of Paper 37 Prop. 5.1 [5], the leading Frobenius coefficient of $\hat{F}_1^{\text{irr3}}$ at $r = r_s$ is $K_1^{\text{irr3}}(\omega) \cdot 2^{i\Omega_{\text{irr3}}} = D_{\text{in}}^s(\omega)$.

Coefficient of $\hat{F}_2$ at r_s from the local coupling. Set $t = \sqrt{1-r}$ near $r = r_s$, so $P(r) = \sqrt{2}t(1 + O(t^2))$. In the variable t , system (D1)–(D2) becomes (Paper 37 §3 [5]):

$$(D1_t) \quad \frac{d\hat{F}_1}{dt} + \frac{i\omega}{t} \hat{F}_1 = \sqrt{2}(1 - iM) \hat{F}_2 + O(t),$$

$$(D2_t) \quad \frac{d\hat{F}_2}{dt} - \frac{i\omega}{t} \hat{F}_2 = \sqrt{2}(1 + iM) \hat{F}_1 + O(t).$$

The operator $L_- \equiv d/dt + i\omega/t$ annihilates the ingoing branch $t^{-i\omega}$ identically. Applied to the outgoing branch $\hat{F}_1^{\text{out}} \sim D_s \cdot 2^{1/2+i\omega/2} \cdot t^{1+i\omega}$ (where $D_s = K_1^{\text{irr3}} \cdot 2^{i\Omega}$):

$$L_-[\hat{F}_1^{\text{out}}] = D_s \cdot 2^{1/2+i\omega/2} [(1 + i\omega) t^{i\omega} + i\omega t^{i\omega}] = D_s \cdot 2^{1/2+i\omega/2} \cdot (1 + 2i\omega) t^{i\omega}.$$

Setting $L_- \hat{F}_1^{\text{out}} = \sqrt{2}(1 - iM) \hat{F}_2^{\text{out}}$ and using $2^{1/2}/\sqrt{2} = 1$:

$$(13) \quad \hat{F}_2^{\text{irr3,out}}(r_s) = K_1^{\text{irr3}} \cdot 2^{i\Omega} \cdot \frac{(1 + 2i\omega) \cdot 2^{i\omega/2}}{1 - iM}.$$

Substituting into the Robin condition: $\cos \beta \cdot K_1^{\text{irr3}} \cdot 2^{i\Omega} + \sin \beta \cdot K_1^{\text{irr3}} \cdot 2^{i\Omega} \cdot (1 + 2i\omega) \cdot 2^{i\omega/2} / (1 - iM) = 0$, which gives (11). $\square$

Remark 2.13 ([Open]). Equation (13) is the contribution of the *outgoing* $\hat{F}_1$ branch (Solution 2, coefficient K_1^{irr3}) to $\hat{F}_2$ at r_s . The ingoing branch (Solution 1, coefficient K_2^{irr3}) also contributes an independent homogeneous term $C_1(\omega) \cdot t^{i\omega}$ to $\hat{F}_2$, where $C_1(\omega)$ is an off-diagonal element of the full 2×2 spinorial connection matrix of system (D1)–(D2) from $r = 0$ to $r = r_s$. At $r = r_s$ ($t \rightarrow 0$), this contribution $C_1 \cdot t^{i\omega} = C_1 \cdot z^{i\omega/2}$ is of the *same* Frobenius order as the leading term (13), so it cannot be dismissed as sub-leading. The complete form of equation (11) is

$$(14) \quad H_{\text{BC}}^{\text{irr3}}(\omega; \beta) = \cos \beta \cdot K_1^{\text{irr3}} \cdot 2^{i\Omega} + \sin \beta \cdot \left[K_1^{\text{irr3}} \cdot 2^{i\Omega} \cdot \frac{(1 + 2i\omega) \cdot 2^{i\omega/2}}{1 - iM} + K_2^{\text{irr3}} \cdot 2^{-i\Omega} \cdot C_1(\omega) \right] = 0,$$

where $C_1(\omega)$ is [Open] pending the computation of the full 2×2 spinorial connection matrix. This is the precise residual of **OP 18.1** (Paper 17 [4]).

3. ZETA REGULARISATION AND β

3.1. Algebraic vs. radial spectral data. Two distinct spectral objects appear in this analysis; we separate them carefully.

Definition 3.1 ([Derived], Paper 40 Def. 5.1). The *algebraic spectral zeta function* of $\mathcal{D}^2$ on $V_{\text{ni}}(a)$ (four modes, finite-dimensional) is

$$(15) \quad \zeta_{\mathcal{D}^2}^{\text{alg}}(s) := \sum_{\lambda \in \text{spec}(\mathcal{D}^2|_{V_{\text{ni}}})} |\lambda|^{-s} = 1 + 3 \cdot 5^{-s}, \quad s \in \mathbb{C}.$$

This is an entire finite Dirichlet sum encoding the internal algebraic structure of $\mathcal{D}^2$. Its derivative at $s = 0$ is $(\zeta^{\text{alg}})'(0) = -3 \ln 5$ and its ζ -determinant is $\det_{\zeta}(-\mathcal{D}^2) = 5^3 = 125$ (Paper 40 Props. 5.2 and 5.4 [7]).

Remark 3.2 ([Derived]). The object (15) encodes the *internal* algebraic eigenvalues $\{-1, -5, -5, -5\}$ on the four-dimensional space $V_{\text{ni}}(a)$. It is β -independent.

The physically relevant object is the *radial spectral zeta function* $\zeta_{\mathcal{D}_\beta}^{\text{rad}}(s)$, which sums over the infinite discrete spectrum $\{\omega_n(\beta)\}_{n \geq 1}$ of the self-adjoint extension $\mathcal{D}_\beta$ of the full Dirac operator on the de Sitter interior $r \in (0, r_s)$ with $\text{BC}(0, \beta)$. The algebraic eigenvalue $\lambda_3 = -5$ determines the effective mass in the radial problem (Definition 2.5); it controls the location of the spectrum but does not generate β -dependence. The β -dependence enters entirely through the radial spectral condition $H_{\text{BC}}^{\text{irr}_3}(\omega_n; \beta) = 0$. The algebraic zeta function (15) has no β -dependence and is not directly related to the radial spectral zeta function (16) by a simple substitution.

Definition 3.3 ([Derived]). For the irr_3 sector with self-adjoint extension parameter $\beta \in [0, \pi)$ and spectral sequence $\{\omega_n(\beta)\}_{n \geq 1}$ satisfying $H_{\text{BC}}^{\text{irr}_3}(\omega_n(\beta); \beta) = 0$, define the *irr₃ spectral zeta function*

$$(16) \quad \zeta_{\text{irr}_3; \beta}(s) := 3 \sum_{n \geq 1} |\omega_n(\beta)|^{-s}, \quad \text{Re}(s) \gg 1,$$

continued analytically to $s = 0$, and the *irr₃ vacuum energy*

$$(17) \quad E_{\text{irr}_3}(\beta) := - \left. \frac{d}{ds} \right|_{s=0} \zeta_{\text{irr}_3; \beta}(s).$$

The factor 3 accounts for the multiplicity of irr_3 .

3.2. The energy-minimising condition for β .

Proposition 3.4 ([Derived]). *The energy-minimising boundary parameter β satisfies*

$$(18) \quad \frac{d}{d\beta} E_{\text{irr}_3}(\beta) = 0.$$

Applying the implicit function theorem to $H_{\text{BC}}^{\text{irr}_3}(\omega_n(\beta); \beta) = 0$ (differentiating with respect to β) and the definition (17), equation (18) is equivalent to the zeta-regularised sum

$$(19) \quad \frac{dE_{\text{irr}_3}}{d\beta} = 3 \sum_{\substack{n \geq 1 \\ \omega_n(\beta) : H_{\text{BC}}^{\text{irr}_3} = 0}} \frac{\partial_\beta H_{\text{BC}}^{\text{irr}_3}}{\omega_n(\beta) \cdot \partial_\omega H_{\text{BC}}^{\text{irr}_3}} \Big|_{\omega = \omega_n(\beta)} = 0,$$

where the sum is understood after ζ -regularisation and the partial derivatives are evaluated on-shell.

Proof. Standard zeta-function regularisation [12]: formal differentiation of $-d/ds|_0 \sum_n |\omega_n(\beta)|^{-s}$ with respect to β , followed by the implicit function theorem: $d\omega_n/d\beta = -(\partial_\beta H_{\text{BC}})/(\partial_\omega H_{\text{BC}})|_{\omega_n}$. Inserting and regularising the resulting series gives (19). $\square$

3.3. Status of the computation.

Proposition 3.5 ([Derived]). *The algebraic input to the β -determination is complete:*

- (i) $\text{spec}(\mathcal{D}^2|_{V_{\text{ni}}}) = \{-1, -5, -5, -5\}$ [Derived, GAP 4.15.1], *Paper 40 Thm. 4.1* [7].
- (ii) $\mathcal{D}^2|_{\text{irr}_3} = -5 \cdot \text{Id}$, $m_{\text{irr}_3}^2 = 5$ [Derived], *conditional on Definition 2.5*.
- (iii) $\zeta_{\mathcal{D}^2}^{\text{alg}}(s) = 1 + 3 \cdot 5^{-s}$; $(\zeta^{\text{alg}})'(0) = -3 \ln 5$; $\det_\zeta(-\mathcal{D}^2) = 125$ [Derived], *Paper 40 Props. 5.2–5.4* [7].
- (iv) *The Kummer connection matrix $K^{\text{irr}_3} = (K_1^{\text{irr}_3}, K_2^{\text{irr}_3})$ in closed Γ -form (7) for all $\omega \in \mathbb{C}$, and in closed elementary form (8) on the imaginary axis, [Derived], conditional on Definition 2.5. The irr_3 QNM condition (9) uses the spinorial phase $\Phi_s = \sqrt{2} \cdot 2^{i\omega/2} e^{i\omega}$ (Lemma 2.2), not the scalar phase of Paper 15 [3].*

The following items are [Open]:

- (v) *The leading-order form of $H_{\text{BC}}^{\text{irr}_3}(\omega; \beta)$ (equation (11), Proposition 2.12) is [Derived], conditional on Paper 37 Prop. 3.1 and Definition 2.5. The complete form (14) requires the coefficient $C_1(\omega)$ (Remark 2.13; OP 18.1 residual).*
- (vi) *The heat kernel and spectral counting function $N(\lambda; \beta)$ for $\mathcal{D}_\beta$ on the de Sitter interior with $\text{BC}(0, \beta)$ (required for the Weyl asymptotics of (16)).*
- (vii) *The closed-form or numerical value of β satisfying equation (18) [Open, conditional on (v) and (vi)].*

Remark 3.6 ([Open]). **OP 37.4** (energy-minimising selector, Paper 37 [5]) and **OP 39.1 residual** (Paper 40 [7]): Theorem 1.1 reduces the problem from 4×4 to 3×3 (irr_3 only); Proposition 2.7 shows that the connection matrix K^{irr_3} is in closed form; Lemma 2.2 closes OP 42.1 (spinorial phase); Proposition 2.12 derives the *leading-order* form of $H_{\text{BC}}^{\text{irr}_3}(\omega; \beta)$ (equation (11)), [Derived] conditional on Paper 37 Prop. 3.1 and Definition 2.5. The single remaining gap is the off-diagonal coefficient $C_1(\omega)$ in the complete form (14) (Remark 2.13; OP 18.1 residual, Paper 17 [4]). Once $C_1(\omega)$ is determined, equation (19) becomes a fully explicit transcendental system for β , computable numerically. [Open, conditional on $C_1(\omega)$ from the 2×2 spinorial connection matrix]

4. IIB-II VERSUS IIB-I: A STRUCTURAL ARGUMENT

4.1. The two conjectures at the boundary. Paper 37 [5] identified two incompatible conjectures for the spinorial boundary condition at $r = r_s$:

- **IIB-I** (full confinement): $\alpha = \beta$ in $\text{BC}(\alpha, \beta)$. Both the irr_1 (gravitational singlet) and irr_3 (gauge triplet) sectors are confined.
- **IIB-II** (gravitational freedom): $\alpha = 0, \beta \neq 0$. The gravitational singlet irr_1 propagates freely; only the gauge triplet irr_3 is confined by β .

Paper 38 Conj. 5.1 selects IIB-II via the Sakharov–Adler induced gravity mechanism [6]. This section provides a complementary algebraic argument for IIB-II arising from the module-theoretic structure of Paper 41 [8].

4.2. The trivial module is algebraically free.

Theorem 4.1 ([New, Observation], conditional on the identification $\text{irr}_1 = \mathbb{F}_2$ (Paper 41, Thm. 3.1 [8]) and $\alpha = 0$ (Paper 38 Conj. 5.1)). *Under the canonical bijection of Paper 41, Thm. 3.1 [8], irr_1 is identified with the trivial $\mathbb{F}_2[\text{GL}(3, \mathbb{F}_2)]$ -module $\mathbb{F}_2$: the unique simple module on which every $g \in \text{GL}(3, \mathbb{F}_2)$ acts as the identity.*

- (i) *By Schur’s lemma for non-isomorphic simples over $\mathbb{F}_2$: $\text{Hom}_{\mathbb{F}_2[\text{GL}(3, \mathbb{F}_2)]}(\mathbb{F}_2, V_3) = 0$ and $\text{Hom}_{\mathbb{F}_2[\text{GL}(3, \mathbb{F}_2)]}(V_3, \mathbb{F}_2) = 0$. There is no $\text{GL}(3, \mathbb{F}_2)$ -equivariant map coupling the trivial module to the gauge triplet at the level of module morphisms.*
- (ii) *The Steinberg module St does not mix with $\mathbb{F}_2$: $\text{Ext}_{\mathbb{F}_2[\text{GL}(3, \mathbb{F}_2)]}^1(\text{St}, \mathbb{F}_2) = 0$ and $\text{Ext}_{\mathbb{F}_2[\text{GL}(3, \mathbb{F}_2)]}^1(\mathbb{F}_2, \text{St}) = 0$, by the projective-injective property of St (projective: $\text{Ext}^1(\text{St}, M) = 0$; injective: $\text{Ext}^1(M, \text{St}) = 0$ for all M).*
- (iii) *The trivial module $\mathbb{F}_2$ carries no internal algebraic structure: every element of $\text{GL}(3, \mathbb{F}_2)$ acts as the identity, so $\mathbb{F}_2$ has no non-trivial $\text{GL}(3, \mathbb{F}_2)$ -equivariant deformation. Imposing a Robin-type boundary condition on this sector — as IIB-I does ($\alpha = \beta \neq 0$) — is algebraically unnatural: the algebra of $\text{GL}(3, \mathbb{F}_2)$ provides no mechanism to couple the constant mode to the boundary.*

IIB-II ($\alpha = 0$) *is the unique choice consistent with the algebraic data: the gravitational singlet $\text{irr}_1 = \mathbb{F}_2$ is left free, the gauge triplet $\text{irr}_3 = V_3$ is confined by $\beta \neq 0$.*

Proof. (i): Standard Schur’s lemma for $\mathbb{F}_2[\text{GL}(3, \mathbb{F}_2)]$ -modules; $\mathbb{F}_2 \not\cong V_3$ (Paper 41, Thm. 3.1 [8]). (ii): St projective implies $\text{Ext}^1(\text{St}, M) = 0$ for all M ; St injective implies $\text{Ext}^1(M, \text{St}) = 0$ for

all M (Paper 41, Cor. 3.2 [8]; standard Steinberg module theory [10, 11]). (iii): $\mathbb{F}_2$ is the trivial $\mathbb{F}_2[\mathrm{GL}(3, \mathbb{F}_2)]$ -module by definition; any boundary condition deformation supported on the irr_1 block would amount to a non-trivial extension of $\mathbb{F}_2$ by V_3 or by St in the category of $\mathbb{F}_2[\mathrm{GL}(3, \mathbb{F}_2)]$ -modules. Whether such extensions exist depends on $\mathrm{Ext}_{\mathbb{F}_2[\mathrm{GL}(3, \mathbb{F}_2)]}^1(\mathbb{F}_2, V_3)$, which is open pending the Cartan matrix computation (OP 41.2, Remark 4.3). The present argument is therefore *[Conjecture, conditional on OP 41.2]*, not *[Derived]*. $\square$

Remark 4.2 (*[New, Observation]*). Theorem 4.1 articulates the algebraic dimension of the matter-gravity split of Paper 38 [6] at the level of boundary conditions. The full picture is:

$\mathbb{F}_2$ -module	Dim	Physical sector	BC status
$\mathbb{F}_2$ (trivial)	1	Grav. singlet irr_1	Free ($\alpha = 0$), IIB-II
V_3	3	Gauge triplet irr_3	Confined by β <i>[Open]</i>
St	8	Majorana spinors irr_8	Isolated ($\mathrm{Ext}^1 = 0$)

The module-theoretic origin of the split is the Paper 41 canonical bijection; the physical interpretation (gravitational freedom vs. gauge confinement) is the Paper 38 matter-gravity split.

Remark 4.3 (*[Open]*). A complete algebraic proof of the IIB-II preference would require the computation of $\mathrm{Ext}_{\mathbb{F}_2[\mathrm{GL}(3, \mathbb{F}_2)]}^1(\mathbb{F}_2, V_3)$, which determines whether the trivial module and the gauge triplet can form a non-trivial extension in the principal block of $\mathbb{F}_2[\mathrm{GL}(3, \mathbb{F}_2)]$. This computation depends on the Cartan matrix of $\mathbb{F}_2[\mathrm{GL}(3, \mathbb{F}_2)]$, which is the subject of OP 41.2 [8]. If $\mathrm{Ext}^1(\mathbb{F}_2, V_3) \neq 0$, then IIB-I could in principle correspond to a non-trivial extension class at the BC level, and the structural argument of Theorem 4.1 would need to be supplemented by a physical selection rule.

4.3. Upgrade of OP 37.1.

Corollary 4.4 (*[Conjecture, IIB-II structurally preferred]*). **OP 37.1** (IIB-I vs. IIB-II tension at the boundary condition, Paper 37 [5]) *is upgraded from [Open] to*

$$[\text{Conjecture, IIB-II structurally preferred}].$$

The conjecture rests on four independent inputs:

- (i) $\mathrm{irr}_1 = \mathbb{F}_2$ (trivial module): Paper 41, Thm. 3.1 [8] [Derived, GAP 4.15.1].
- (ii) Algebraic naturality of $\alpha = 0$ for the trivial module: Theorem 4.1 above [New, Observation] [conditional on OP 41.2 for full *[Derived]* status].
- (iii) Sakharov–Adler mechanism selects $\alpha = 0$: Paper 38 Conj. 5.1 [6] [Conjecture, IIB-II $\wedge$ IIB-IV].
- (iv) St isolated from the BC domain: Paper 41, Cor. 3.2 [8] [Derived, standard Steinberg module theory].

Definitive resolution to [Derived] status requires either a proof that $\mathrm{Ext}_{\mathbb{F}_2[\mathrm{GL}(3, \mathbb{F}_2)]}^1(\mathbb{F}_2, V_3) = 0$ (Remark 4.3; OP 41.2 [8]) or an independent algebraic proof that the trivial module admits no non-trivial Robin BC compatible with S_4 -invariance.

5. INTRODUCTION

This paper addresses the gauge sector of the Black Circle — the Cayley–Dickson algebraic black hole introduced in Papers 11–17 of this series [1, 2, 3, 4]. The central open problem is the determination of the parameter β in the two-parameter family of S_4 -invariant spinorial boundary conditions $\mathrm{BC}(\alpha, \beta)$ derived in Paper 37 [5].

Main results. Paper 41 [8] established that the four simple $\mathbb{F}_2[\mathrm{GL}(3, \mathbb{F}_2)]$ -modules correspond canonically to the four physical sectors of the series, with the Steinberg module St (dim 8) corresponding to the Majorana spinors 4_s and enjoying the projective-injective property $\mathrm{Ext}^1(\mathrm{St}, M) = 0$

for all simple M . This structural fact, combined with Paper 38 Conj. 5.1 [6] ($\alpha = 0$ under IIB-II $\wedge$ IIB-IV), reduces the boundary problem from 4×4 to 3×3 : only the irr_3 sector (gauge triplet, V_3 , $\dim 3$) is governed by β (§1).

The three main contributions of this paper are:

- *Structural reduction* (§1): The boundary condition problem reduces to the irr_3 sector alone. The irr_1 (gravitational singlet) and St (Majorana) sectors are structurally free. [Derived, Paper 41 [8]]
- *Closed connection matrix* (§2): The Gauss hypergeometric connection matrix K^{irr_3} for the irr_3 sector is available in closed Γ -function form for all $\omega \in \mathbb{C}$, with no Heun obstruction. The spinorial phase factor $\Phi_s(\omega) = \sqrt{2} \cdot 2^{i\omega/2} e^{i\omega}$ is confirmed for the irr_3 sector, closing **OP 42.1**. [Derived, conditional on Paper 37 Prop. 3.1 and Paper 40 Thm. 4.3 [5, 7]]
- *Zeta framework* (§3): The energy-minimising condition $dE_{\text{irr}_3}/d\beta = 0$ is set up explicitly. The leading-order β -dependent QNM condition $H_{\text{BC}}^{\text{irr}_3}(\omega; \beta)$ is derived. The single remaining gap is the off-diagonal coefficient $C_1(\omega)$ of the full 2×2 spinorial connection matrix (OP 18.1, Paper 17 [4]). [Derived/Open]

Section 4 provides a structural argument that IIB-II is algebraically preferred over IIB-I, upgrading OP 37.1 from [Open] to [Conjecture, IIB-II structurally preferred].

Prerequisites. Papers 11, 13, 15, 17, 37, 38, 40, 41.

Notation. $P(r) = \sqrt{1-r^2}$, $z = 1 - r^2/r_s^2$, $t = \sqrt{1-r^2}$ near $r = r_s$. $\Omega_{\text{irr}_3}(\omega) = \sqrt{\omega^2 - 5}/2$, $\kappa' = \sqrt{\kappa^2 + 5}$ for $\omega = -i\kappa$.

6. CONCLUSIONS AND OPEN PROBLEMS

This paper has achieved three results within the programme of determining the boundary parameter β for the Black Circle gauge sector.

What has been established.

- (1) *Structural reduction to irr_3* (Theorem 1.1). The $\text{BC}(0, \beta)$ problem reduces from 4×4 to the irr_3 sector alone. The gravitational singlet (irr_1) is free under IIB-II, and the Steinberg sector (St, the Majorana spinors 4_s) is outside the BC domain by construction. This reduction uses the structural input of Paper 41 [8] and is [Derived, conditional on Paper 38 Conj. 5.1 (IIB-II $\wedge$ IIB-IV)].
- (2) *Closed Γ -function connection matrix* (Proposition 2.7). The Gauss hypergeometric connection matrix K^{irr_3} is available in closed form for all $\omega \in \mathbb{C}$. The previously anticipated ‘‘Heun obstruction’’ does not exist for this sector (Remark 2.8). [Derived]
- (3) *Closure of OP 42.1* (Lemma 2.2). The irr_3 sector uses the spinorial phase $\Phi_s(\omega) = \sqrt{2} \cdot 2^{i\omega/2} e^{i\omega}$, not the scalar phase of Paper 15 [3]. This is a consequence of the S_4 -equivariance of $\mathcal{D}$ (Paper 37 §2 [5]), Schur’s lemma, and the geometric origin of the Frobenius shift $\rho_+^{(1)} = \frac{1}{2} + \frac{i\omega}{2}$ (Paper 37 Prop. 3.1 [5]). [Derived, conditional on Paper 37 Prop. 3.1 and Paper 40 Thm. 4.3 [7]]
- (4) *Leading-order β -dependent condition* (Proposition 2.12). The explicit form of $H_{\text{BC}}^{\text{irr}_3}(\omega; \beta)$ at leading Frobenius order is derived from the Robin boundary condition $\cos \beta \cdot \hat{F}_1^{\text{irr}_3}(r_s) + \sin \beta \cdot \hat{F}_2^{\text{irr}_3}(r_s) = 0$. [Derived, conditional on Paper 37 Prop. 3.1 and Definition 2.5]
- (5) *IIB-II structurally preferred* (Theorem 4.1, Corollary 4.4). The trivial $\mathbb{F}_2[\text{GL}(3, \mathbb{F}_2)]$ -module structure of irr_1 provides an algebraic reason to prefer IIB-II over IIB-I. OP 37.1 is upgraded to [Conjecture, IIB-II structurally preferred].

What remains open.

OP 18.1 [Open, Paper 17 [4]]. The complete form of $H_{\text{BC}}^{\text{irr}_3}(\omega; \beta)$ (equation (14)) requires the off-diagonal coefficient $C_1(\omega)$ of the full 2×2 spinorial connection matrix for the system (D1)–(D2) of Paper 37. Once $C_1(\omega)$ is determined, equation (18) becomes a fully explicit transcendental equation in β , computable numerically. The coefficient $C_1(\omega)$ requires the global integration of the coupled spinorial system (D1)–(D2) from $r = 0$ to $r = r_s$, which lies beyond the analytic tools developed in this series.

OP 37.4 / OP 39.1 residual. The energy-minimising value of β (equation (19)) and the corresponding spinorial QNM spectrum (equation (9)) remain *[Open, conditional on OP 18.1]*.

OP 41.2 residual [Open]. The Cartan matrix of $\mathbb{F}_2[G_{84}]$ and the projective-injective property of St as a $\mathbb{F}_2[G_{84}]$ -module (rather than a $\mathbb{F}_2[\text{GL}(3, \mathbb{F}_2)]$ -module) remain to be computed.

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